

ACCOUNT APPLICATION FORM

Anti-Money Laundering & Legal Requirements

Anti-Money Laundering Laws require Palmyra Holdings to collect information and documentation pertaining to the identity of the prospective investor. Our application document attains personal information about investors to comply with Anti-Money Laundering Laws.

These include details such as your occupation or business activity as well as your funding source. Under Anti-Money Laundering Laws, Palmyra Holdings is prohibited from processing your application until we have received all the required information and identification document/s.

Please note: In some cases, Palmyra Holdings may have to collect further information and/or documentation in order to comply with Anti-Money Laundering Laws.

Completing this Form – Important Points

Often, the software utilized to open this document does not allow editing or saving options. This document should be printed and completed by hand using a ball-point pen containing either black or blue ink.

Once you have completed the form and have verified that there are no errors or missed information, please sign, date it and then scan the document, less this cover page and send it back to us via email.

If you have any questions or need further assistance in completing this Client Application Form, please contact your advisor via email or telephone.

Email:
accounts@palmyraholdingspte.com



ACCOUNT APPLICATION FORM

To establish your Palmyra Holdings Account, please complete all sections of this form below. Palmyra Holdings will use the information you provide to open your account, service it and to communicate with you. Please ensure that the information provided is accurate. **Please use a black or blue ball point ink pen to complete this form.**

Section One – ACCOUNT TYPE

Individual: ☐

Joint: ☐

Company: ☐

Section Two – PRIMARY ACCOUNT HOLDER DETAILS

Title

First Name/s

Surname

Date of Birth (DD – MM – YYYY)

Nationality

Country of Residence

Mother's maiden name (for security & client identification)

Marital Status (SINGLE / MARRIED / DIVORCED)
--

Home Telephone Number

Work Telephone Number

Mobile Telephone Number

Email Address

Permanent Residential Address (no P.O. Boxes)

Are you a permanent resident of Singapore? (Yes or No)
--

Company Accounts: Company Name & Address
--

Employment or Business interests (What is your current occupation or previous if retired).
--

Employer/Company Name

Employment/Business Industry

Total Years of Employment/Active Company
--



Section Three – PRIMARY ACCOUNT HOLDER DETAILS (continued)

Please tick the applicable source of wealth that you intend to use to finance your investments with us:

- | | |
|-----------------------|--------------------------|
| Employment | <input type="checkbox"/> |
| Savings | <input type="checkbox"/> |
| Inheritance | <input type="checkbox"/> |
| Family Trust | <input type="checkbox"/> |
| Sale of Property | <input type="checkbox"/> |
| Investment Redemption | <input type="checkbox"/> |
| Other* | <input type="checkbox"/> |

* If Other, please specify below:

Overall Investment Objective of account

- | | |
|----------------------|--------------------------|
| Growth | <input type="checkbox"/> |
| Speculation | <input type="checkbox"/> |
| Income | <input type="checkbox"/> |
| Capital Preservation | <input type="checkbox"/> |

Are you a director, a 10% (or more) shareholder or policy-maker of A Publicly Listed Company?

If yes, please complete the below section:

Company Name

Trading Symbol

Company Name

Trading Symbol

Company Name

Trading Symbol

Investment Experience Annual Income (US\$)

- | | |
|------------------------------------|--|
| <input type="checkbox"/> None | <input type="checkbox"/> Less than \$50,000 |
| <input type="checkbox"/> Limited | <input type="checkbox"/> \$50,000-\$100,000 |
| <input type="checkbox"/> Good | <input type="checkbox"/> \$100,000-\$250,000 |
| <input type="checkbox"/> Extensive | <input type="checkbox"/> \$250,000-\$500,000 |
| | <input type="checkbox"/> \$500,000-\$1,000,000 |
| | <input type="checkbox"/> Over \$1,000,000 |

Liquid Net Worth (US\$) Total Net Worth (US\$)

- | | |
|--|--|
| <input type="checkbox"/> \$50,000-\$100,000 | <input type="checkbox"/> \$50,000-\$100,000 |
| <input type="checkbox"/> \$100,000-\$250,000 | <input type="checkbox"/> \$100,000-\$500,000 |
| <input type="checkbox"/> \$250,000-\$500,000 | <input type="checkbox"/> \$500,000-\$1,000,000 |
| <input type="checkbox"/> \$500,000-\$1,000,000 | <input type="checkbox"/> \$1,000,000-\$3,000,000 |
| <input type="checkbox"/> \$1,000,000-\$3,000,000 | <input type="checkbox"/> \$3,000,000-\$5,000,000 |
| <input type="checkbox"/> Over \$3,000,000 | <input type="checkbox"/> Over \$5,000,000 |

Previous Investment Experience. Please tick those that apply to you.

- | | | | |
|--|--|---|---|
| <input type="checkbox"/> Corporate Bonds | <input type="checkbox"/> Equities | <input type="checkbox"/> Hedge Funds | <input type="checkbox"/> Private Equity |
| <input type="checkbox"/> Structured Products | <input type="checkbox"/> Futures/Options | <input type="checkbox"/> Property Funds | <input type="checkbox"/> Other/s |



Section Four – JOINT ACCOUNT HOLDER DETAILS

Title	Email Address
First Name/s	Permanent Residential Address (no P.O. Boxes)
Surname	Are you a permanent resident of Singapore? (Yes or No)
Date of Birth (DD – MM – YYYY)	Country of Residence
Nationality	Employment or Business interests (What is your current occupation or previous if retired).
Mother's maiden name (for security & client identification)	Employer/Company Name
Marital Status (SINGLE / MARRIED / DIVORCED)	Employment/Business Industry
Home Telephone Number	Total Years of Employment/Active Company
Work Telephone Number	
Mobile Telephone Number	



Section Five AUTHORIZATION TO OPEN AN ACCOUNT WITH PALMYRA HOLDINGS

By signing and dating this document, I/We state that all the information herein is true and correct and that I will contact PALMYRA HOLDINGS in reasonable time if any material changes occur. PALMYRA HOLDINGS reserves the right to verify the accuracy of the information provided and to contact those deemed necessary in any verification process, but has no duty to do so.

By signing and dating this document, I/We acknowledge that I/We have read and understand the Client Application Terms and Conditions contained in this document and that my/our signature/s constitute agreement that the account and relationship with PALMYRA HOLDINGS will be governed by the Client Application Terms and Conditions.

SIGNATURE/S HERE:

Print Title and First Name/s

Print Title and First Name/s

Print Surname

Print Surname

Print Date (DD/MM/YYYY)

Print Date (DD/MM/YYYY)

Signature of Account Holder

Signature of Joint Account Holder

Section Six – TERMS AND CONDITIONS

PALMYRA HOLDINGS CLIENT APPLICATION TERMS AND CONDITIONS RISK DISCLOSURE DOCUMENT FOR CAPITAL MARKETS

This document contains important information on trading.

All prospective clients should read this document before trading on Capital Markets.

Palmyra Holdings neither singly or jointly and expressly nor impliedly guarantee nor make any representation concerning the completeness, the adequacy or accuracy of this disclosure document nor have Palmyra Holdings endorsed or passed any merits of participating in the trading segments. This brief statement does not disclose all the risks and other significant aspects of trading.

In the light of the risks involved, you should undertake transactions only if you understand the nature of the contractual relationship into which you are entering and the extent of your exposure to risk.

You must know and appreciate that investment in Equities have varying elements of risk and is generally not an appropriate avenue for someone of limited resources/limited investment and/or low risk tolerance. You should therefore carefully consider whether such trading is suitable for you in the light of your financial condition. If you trade with Palmyra Holdings and suffer adverse consequences or loss, you shall be solely responsible for the same and Palmyra Holdings shall not be responsible, in any manner whatsoever, to take a plea that no adequate disclosure regarding the risks involved was made or that you were not explained the full risk involved by the concerned member. The Client shall be solely responsible for the consequences and no contract can be rescinded on that account. You must acknowledge and accept that there can be no guarantee of profits or no exception from losses while executing orders for purchase and/or sale of a securities.

It must be clearly understood by you that your dealings with Palmyra Holdings shall be subject to your fulfilling certain formalities set out by Palmyra Holdings, which may include you completing certain forms such as, Know your Client, Client Registration, Execution of an Agreement and others, as are in force from time to time.

We do not provide or purport to provide any advice and shall not be liable to any person who enters into any business relationship with Palmyra Holdings and/or a Palmyra Holdings sub-broker and/or any third party based on any information contained in this document. Any information contained in this document must not be construed as business advice/investment advice. No consideration to trade should be made without thoroughly understanding and reviewing the risks involved in such trading.

By opening an account with Palmyra Holdings, you, the Client gives Palmyra Holdings the authorization to administer the Assets as part of Palmyra Holdings's Services, in the name of The Client.

- The Client, in the limits set out by this contract, authorizes Palmyra Holdings to manage The Client's Account as Palmyra Holdings thinks fit for the "Common Goal" of all Clients to obtain the best profitability, according to Applicable Legislation.
- The Client understands and accepts that Assets, which are part of the Service provided may remain blocked in a Portfolio for the execution of Investment Strategies in Assets, for the purpose of fulfilling the Common Goal periods.
- By expressing consensual agreement to this Contract, The Client gives Palmyra Holdings an exclusive right of management and discretion to Asset portfolios.
- Palmyra Holdings will make daily or regular decisions regarding Portfolio Assets and will act as it thinks fit for the optimization of Services, in accordance to the Contract.
- Disclosure Clause – The Account Managers of Palmyra Holdings reserve the right in delaying the release of Assets to protect Palmyra Holdings trading intelligence.
- The Client understands that they have full discretion and power of attorney over all funds present in their account and as such, is the only party allowed and capable of making any commands pertaining to their account. As the sole proprietor of their account, The Client is the only party that has the power to make withdrawals from their account or to deposit into their account. Palmyra Holdings will not make any financial decisions on behalf of The Client without first having the express consent of The Client. The final decision to invest Capital or to withdraw Capital is unequivocally that of The Client.

In considering whether to trade or authorize someone to trade for you, you should be aware of or must get acquainted with the following:

BASIC RISKS INVOLVED IN TRADING

Risk of Higher Volatility: Volatility refers to the dynamic changes in price that securities undergo when trading activity continues whether listed or unlisted. Generally, the higher the volatility of a security, the greater is its price swings. There may be normally greater volatility in thinly traded securities than in active securities. As a result of volatility, your price at which your order is executed may substantially change thereafter, resulting in notional or real losses.



Palmyra Holdings

101 Thomson Road #31-03-04 United Square Singapore (307591)

Email: contact@palmyraholdingspte.com | Website: www.palmyraholdingspte.com

Risk of Lower Liquidity: Liquidity refers to the ability of market participants to buy and/or sell securities expeditiously at a competitive price and with minimal price difference. Generally, it is assumed that the more numbers of orders available in a market, the greater is the liquidity. Liquidity is important because with greater liquidity, it is easier for investors to buy and/or sell securities, and as a result, investors are more likely to pay or receive a competitive price for securities purchased or sold. There may be a risk of lower liquidity in some securities as compared to active securities. As a result, your order may only be partially executed, or may be executed with relatively greater price difference or may not be executed at all.

Risk of News Announcements: Issuers and blogs make announcements that may impact the price of the securities. These announcements may occur during trading, and when combined with lower liquidity and higher volatility, may suddenly cause an unexpected positive or negative movement in the price of the security.

Risk of Rumors: Rumors about companies at times float in the market through word of mouth, newspapers, websites or news agencies, etc. The investors should be wary of and should desist from acting on rumors.

System Risk: High volume trading will frequently occur at a markets opening time and before its close. Such high volumes may also occur at any point in the day. These may cause delays in order execution or confirmation.

- During periods of volatility, on account of market participants continuously modifying their order quantity or prices or placing fresh orders, there may be delays in order execution and its confirmations.
- Under certain market conditions, it may be difficult or impossible to liquidate a position in the market at a reasonable price or at all, when there are no outstanding orders either on the buy side or the sell side, or if trading is halted in a security due to any action on account of unusual trading activity or for any other reason.

GENERAL

Commissions: A handling fee of 1% (one percent) will be levied on any transaction that Palmyra Holdings make on behalf of the Client. This fee of 1% is applicable to either the sale of, or the purchase of Securities.

Currencies: US Dollars will be used for all trade transactions unless otherwise agreed and set forth in the confirmation order.

Applicable Law: To the maximum extent permitted by Applicable Law, this Agreement shall be governed by and construed in accordance with all relevant Rules and Regulations, and Customs of the Exchange or Market wherever executed.

Force Majeure: We shall not be liable for loss caused directly or indirectly by any exchange or market ruling, government restriction, or any "force majeure" (e.g., flood, extraordinary weather conditions, earthquake or other acts of God, fire, war, insurrection, riot, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Palmyra Holdings.

Change to Terms and Conditions: Upon notice to the Client, Palmyra Holdings may add, delete or otherwise modify any portion of this Agreement, in whole or in part at any time. Your continued use of the Services after receipt of such notice shall represent acceptance of such terms.

Termination: You may close your account at your discretion after all Debit Balances are paid, by sending Palmyra Holdings written notice at any time. The Terms and Conditions of this Agreement will survive the termination of your Account and will continue to apply to any disputed or other remaining matters involving your relationship with Palmyra Holdings. We may terminate this Agreement for any reason, effective immediately, by notifying you and any outstanding balances due will be paid as instructed by you.

Print Date (DD/MM/YYYY)

Print Date (DD/MM/YYYY)

Signature of Account Holder

Signature of Joint Account Holder

Section Seven – NON-DISCLOSURE AGREEMENT

**This Agreement is entered into and is between
Palmyra Holdings, “the Service Provider” and the CLIENT.**

WHEREAS the Service Provider possesses certain ideas and information relating to Palmyra Holdings that is confidential and proprietary to Discloser (hereinafter “confidential Information”); and

WHEREAS the Client is willing to receive disclosure of confidential information pursuant to the terms of this agreement for the purpose of **Equity Purchases**.

NOW THEREFORE, in consideration for the mutual undertakings of the Service Provider and the Client under this agreement, the parties agree to the following.

- Disclosure:** The Service Provider agrees to disclose and the Client agrees to receive the Confidential Information.
- No Use:** The Client agrees not to use the Confidential Information in any way, or to manufacture or test any product embodying Confidential Information, except for the purpose set forth above.
- No Disclosure:** The Client agrees to use their best efforts to prevent and protect the Confidential Information, or any part thereof from disclosure to any person other than the Client’s personnel having a need for disclosure in connection with the Client’s authorized use of Confidential Information.
- Protection of Secrecy:** The Client agrees to take all steps necessary to protect the secrecy of the Confidential Information and to prevent the Confidential Information from falling into the public domain or into the possession of unauthorized persons.
- Limits on Confidential Information:** Confidential Information shall not be deemed proprietary and the Client shall have no obligation with respect to such information where the information
 - was known to the Client prior to receiving any of the Confidential Information from Discloser.
 - has become publicly known through no wrongful act of the Client.
 - was received by the Client without breach of this Agreement from a third party without restriction as to the use and disclosure of the information.
 - was inadequately developed by the Client without use of the Confidential Information.
 - was ordered to be publicly released by the requirement of a government agency.
- Ownership of Confidential Information:** The Client agrees that all Confidential Information shall remain the property of the Service Provider and that the Service Provider may use such Confidential Information for any purpose without obligation to the Client. Nothing contained herein shall be construed as granting or implying any transfer of rights to the Client in the Confidential Information, or any patents or other intellectual property protecting or relating to the Confidential Information.
- Term and Termination:** The obligations of this Agreement shall be continuing until the Confidential Information disclosed to the Client is no longer confidential.
- Survival of Rights and Obligations:** This Agreement shall be binding upon, inure to the benefit of, and be enforceable by (a) The Service Provider, its successors and assigns: and (b) the Client, its successors and assigns.

Agreement of the client

SIGNATURE OF PRIMARY
ACCOUNT HOLDER:

FULL NAME PRINTED:

DATE (DD/MM/YYYY):